

Application: Product & Completed Operations and Product Recall - Producers

| APPLICANT INFORMATION | | | | |
|--|---|--------------------------------|--|--|
| Named Insured: | | | | |
| Website: | | | | |
| Registered Address: | | City: | | |
| Province: | | Postal Code: | | |
| Health Canada Licence #: | | Date Business Established: | | |
| * Please submit a copy of your c | urrent Licence with this Applicatio | on. | | |
| Is the Insured licensed with Hea | | | Yes No | |
| | | multiple dates inlease specify | 1.60 | |
| Licensing Date: | List initial and any subsequent licensing in the table below. * If multiple dates, please specify. Licensing Date: Province/Territory: Licence(s): e.g., Cultivation, Processing | | Classes of Cannabis: e.g., Plants/Seeds, Edible | |
| | | | | |
| | | | | |
| Has the leaving discontinuous stand | h | | Vac. No. | |
| Has the Insured been inspected | | | Yes No | |
| * If so, provide a copy of the Inspection Report and Final Inspection Monitoring Report. | | | | |
| Mailing Address: (if different from | n Registered Address) | | | |
| Describe the Insured's Nature of | of Operation(s): | | | |
| Is this a new venture? | | | Yes No | |
| List the Insured's projected gross revenue by category for the next 12 months (\$CDN) | | | | |
| Cultivation | | \$ | | |
| Processing | | \$ | | |
| Distribution | | \$ | | |
| Farm Gate | | \$ | | |
| Laboratory and testing | | \$ | | |
| Other (please specify): \$ | | | | |
| Total for the next 12 months: | | \$ | | |
| Total gross revenue for the last 12 months: | | \$ | | |



| CANADIAN CONTROLLED DRUG AND SUBSTANCES ACTS (CDSA) | | | | |
|---|------------|-------------|--|--|
| Please note: All questions must be answered. N/A is not an acceptable answer for the carrier to approve. | | | | |
| How does the Insured prevent drugged driving or other adverse public health consequences associate | ed with ca | nnabis use? | | |
| Please describe: | | | | |
| | | | | |
| Does the Insured either grow or purchase marijuana on public lands? | Yes | No | | |
| What is the Insured's policy on preventing the distribution to minors? | | | | |
| Please describe: | | | | |
| | | | | |
| Does the Insured have a training manual on the selling requirements and possession amounts? | Yes | No | | |
| Please describe: | | | | |
| | | | | |
| How will the Insured be advertising their product(s)? | | | | |
| Please describe: | | | | |
| | | | | |
| Complete the following for any Insured or any principal, partner, owner, officer, director, employee, may of the Insured or any person(s) or organization(s) proposed for this insurance or any predecessor, subsider organization: | _ | | | |
| Have any of the above been convicted of a felony or DUI in the last 10 years? | Yes | No | | |
| If yes, please provide details (date criminally charged or convicted): | | | | |
| | | | | |
| | | | | |
| Is the Insured in compliance with all Provincial & Federal laws regarding the manufacture, control, dispensing of cannabis? | Yes | No | | |



| HISTORY | | | |
|---|--|-----|----|
| Has the Insured had any claims or losses? If yes, attach a currently valued, detailed loss report on Insurer letterhead. | | Yes | No |
| Does the Insured have knowledge of any incidents that could | give rise to a future claim or loss? | Yes | No |
| Do you currently have commercial insurance coverage? | | Yes | No |
| Premises Liability: | | | |
| Insurer: | Expiration date: | | |
| Policy number: | Premium: \$ | | |
| Coverage limit: \$ | Coverage limit: \$ Aggregate limit: \$ | | |
| Product Liability: | | | |
| surer: Expiration date: | | | |
| Policy number: Premium: \$ | | | |
| Coverage limit: \$ Aggregate limit: \$ | | | |
| Retroactive date (including limits): | | | |
| * Please provide copy of your expiring policy. | | | |
| Product Recall: | | | |
| Insurer: Expiration date: | | | |
| Policy number: | number: Premium: \$ | | |
| Coverage limit: \$ Aggregate limit: \$ | | | |
| Retroactive date (including limits): | | | |
| * Please provide copy of your expiring policy. | | | |

| COMMERCIAL GENERAL PREMISES LIABILITY | | | |
|---|---------------------------------|-----|----|
| Does the Insured own the building? | | Yes | No |
| Total sq ft occupied by the Insured: | Total sq ft occupied by others: | | |
| If premises is multi-tenanted, please provide details of other tenan | nts including their operations: | | |
| | | 1 | |
| Does the premise have a pool, pond or other water exposure? | | Yes | No |
| Does anyone live in the above scheduled building or on premises? | | Yes | No |
| Are there any dogs on the premises? | | Yes | No |
| Does the Insured sub-contract their security guard services? | | Yes | No |
| Include hired and non-owned auto: | | Yes | No |
| Do all drivers maintain a personal auto policy and keep it in force at all times? | | Yes | No |
| Is any driver allowed to drive with any DUI, DWI, or careless driving violations? | | Yes | No |
| Are MVR's/driver records collected by all drivers employed by the Insured annually? | | Yes | No |



| CANNABIS PRODUCT LIST BY TYPE | | | | | |
|--|---------------------------------|----|---|---|-----|
| Cannabis Product | Revenue (100% Recreational/N | | Revenue (100% CDN) Insured's Own Production | Revenue (100% Insured's Brand Products/Sold Labels | ded |
| Cannabis (seeds, leaves, buds, flower, trim) | \$ | \$ | % | % | % |
| Pre-rolls | \$ | \$ | % | % | % |
| Edibles (food) | \$ | \$ | % | % | % |
| Edibles (beverages) | \$ | \$ | % | % | % |
| Topicals | \$ | \$ | % | % | % |
| Extracts – inhalable | \$ | \$ | % | % | % |
| Extracts – ingestible | \$ | \$ | % | % | % |
| TOTAL | \$ | \$ | % | % | % |

| TOP THREE PRODUCTS | | | | |
|--------------------|--------------|---------|-----------------|-------------|
| Product Name | Product Type | Revenue | Avg. Batch Size | Units/Batch |
| | | \$ | \$ | \$ |
| | | \$ | \$ | \$ |
| | | \$ | \$ | \$ |

| TOP THREE CUSTOMERS BY SALES | | |
|--------------------------------|---|--|
| Customer: Sales By Percentage: | | |
| | % | |
| | % | |
| | % | |



| TOP THREE SUPPLIERS BY PRODUCT | | | |
|--------------------------------|----------|--|--|
| Supplier: | Product: | | |
| Supplier: | Product: | | |
| Supplier: | Product: | | |

| LIST ALL PRODUCTS THAT THE INSURED MAY NOT MANUFACTURE, BUT PLACE INSURED'S LABEL ON. ALSO LIST THE OPERATIONS THAT ARE CONTRACTED OUT BY THE INSURED. | | | |
|--|------------|--|--|
| Product: | Operation: | | |
| Product: | Operation: | | |
| Product: Operation: | | | |

^{*}Provide a copy of a signed Supply and Goods and Services Agreement.

| LIST ALL PRODUCTS THAT THE INSURED DOES MANUFACTURE AND PLACE OTHERS' LABELS ON. ALSO DESCRIBE THE EXTENT OF THE INSURED'S MANUFACTURING OPERATIONS. | | | |
|--|-------------|--|--|
| Product: Operations: | | | |
| Product: | Operations: | | |
| Product: | Operations: | | |

^{*} Provide a copy of a signed Supply and Goods and Services Agreement.

| SPECIFY THE HIGHEST CONCENTRATION (%) AND DOSAGE (MG) OF CANNABOIDS IN A PRODUCT | | | | |
|--|----------------|---------|--|--|
| Product: | Concentration: | Dosage: | | |
| | % | mg | | |
| | % | mg | | |

^{*}Provide a copy of a signed Supply and Goods and Services Agreement.



| NON-CANNABIS PRODUCT BY TYPE - ACCESSORIES OR MERCHANDISE | | | |
|---|---------------------|-----------|--|
| Product: | Revenue (100% CDN): | Supplier: | |
| Vape Cartridges (510 Thread) | % | | |
| Vape Batteries | % | | |
| Disposable Vape Pens | % | | |
| Rolling Papers (and other paper products) | % | | |
| Conventional Food/Beverage Products | % | | |
| Conventional Topical Products | % | | |
| Other – Please Specify | % | | |

| List and explain new products that will be introduced to the marketplace in the next 12 months: | | | | |
|---|--|--|--|--|
| | | | | |
| | | | | |
| List and explain all products that have been discontinued and why: | | | | |
| | | | | |
| | | | | |

| CULTIVATION AND/OR PROCESSING OPERATIONS | | | | | | |
|--|-----------------------|----|--|--|--|--|
| Does the Insured maintain daily written records of all Cannabis, CBD, Hemp and inventory of non-cannabis products, including purchase date, type of product, purchase price and who it was purchased from? | Yes | No | | | | |
| Does the Insured have a written quality assurance plan in place? | Yes | No | | | | |
| Does the Insured have a Quality Assurance Person on staff? | Yes | No | | | | |
| If so, provide name and contact info: | | | | | | |
| Does the Insured have a Product Recall Plan? *Please provide a copy. | Yes | No | | | | |
| Does the Insured test 100% of the cannabis, CBD, and hemp products prior to distributions? | Yes | No | | | | |
| If yes, does the Insured perform their own testing? | Yes | No | | | | |
| If no, provide the name of the contracted testing laboratory: | Lab name: Contact: | | | | | |
| Does the Insured use software to track sales and pertinent transaction data such as who, when and what was purchased? | Yes | No | | | | |
| Will the Insured follow all Health Canada and Access to Cannabis for Medical Purposes regulations as it would pertain to the withdrawal and/or recall of defective products? | Yes | No | | | | |



| Does the Insured have a communication and complaint handling procedure? | Yes | No |
|---|-----|----|
| Does the Insured know of any products that were either voluntarily or mandatorily recalled/withdrawn in the past five (5) years? | Yes | No |
| List the testing methods the Insured uses: | | |
| How often does the Insured clean the production lines? | | |
| How often are the lines replaced? | | |
| Please explain the form of pest prevention the Insured is using: | | |
| Does the Insured apply their own pesticides? | Yes | No |
| If no, does the Insured get a copy of the contracted company's insurance before any work begins? | Yes | No |
| Does the Insured follow all Provincial and Health Canada regulations with regards to the use, storage, approval, and disposal of pesticides? | Yes | No |
| Does the Insured use any butane, propane, CO2, or other gases in the manufacturing process? | Yes | No |
| If yes, provide what gases/solvents the insured uses: | | |
| Does the Insured follow all laws, regulations and ordinances pertaining to the storage, use and disposal of any gases used in the Insured's operations? | Yes | No |
| Does the Insured test 100% of all products manufactured for any level or gas/solvent residue? | Yes | No |
| Does the Insured follow Good Manufacturing Practices in their operations and, if applicable, work with suppliers that are GMP qualified? | Yes | No |
| Does the Insured have a signed Supply Agreement in place with all cannabis and cannabis accessories suppliers? | Yes | No |
| Is the Insured aware of any claims or product recalls by their suppliers? | Yes | No |
| If yes, please provide details: | | |
| Are any products imported to Canada? | Yes | No |
| If yes, please specify products and the country: | | |
| Did the Insured receive a Certificate of Compliance for the imported products? * If yes, please provide a copy. | Yes | No |
| If applicable, do suppliers carry appropriate licensing from Health Canada? | Yes | No |
| If applicable, have suppliers been inspected by Health Canada or the equivalent? | Yes | No |
| Do all parties in the supply chain carry Product Liability coverage? | Yes | No |
| If yes, specify limit: \$ | • | |
| Does the Insured audit their suppliers? | Yes | No |



| Does the Insured require their suppliers to carry Product Recall coverage? | Yes | No |
|--|-----|----|
| Does the Insured have hold harmless agreements with all their suppliers? | Yes | No |
| If yes, which suppliers: | | |
| | | |
| | | |

| LIMITS | | | | | | | | | |
|--|-------------|-------------|--|-------------|------|--------------|--|--------------|--|
| Premises/Product & Completed Operations Liability: | | | | | | | | | |
| Requested Limit: | | | | | | | | | |
| \$1,000,000 | \$2,000,000 | \$2,000,000 | | \$5,000,000 | | \$10,000,000 | | \$15,000,000 | |
| Requested Deductible: | | | | | | | | | |
| \$2,500 | \$5,000 | \$10,000 | |) | \$15 | \$15,000 | | | |
| Product Recall: | | | | | | | | | |
| Requested Limit: | | | | | | | | | |
| \$500,000 | \$1,000,000 | \$2,000,000 | | \$5,000,000 | | \$10,000,000 | | \$15,000,000 | |
| Requested Deductible: | | | | | | | | | |
| \$2,500 | \$5,000 | \$10,000 | | \$25,000 | | \$50,000 | | \$100,000 | |

Other Conditions: Questions and information provided in this application will become part of the policy of insurance if issued. Other Terms, Conditions and Coverages will be included as part of any insurance policy issued by the insurance company. Those Terms, Conditions and Coverages may differ from what is requested in this application. Bearing upon the foregoing, I understand and agree these investigations shall not be confined to information submitted in this application but shall include any other sources of information deemed relevant by the Company as may be authorized by law. I, an authorized representative, understand and agree this application and any supplements attached hereto will be relied upon for issuance of any policy. I further understand and agree that failure to provide a true and accurate response to the foregoing questions may, at the option of the Company, result in the voiding of the insurance issued in reliance on this application and/or denial of claims under any policy issued.

THIS APPLICATION MUST BE SIGNED BY INSURED AT BINDING, DATE MUST BE WITHIN 30 DAYS OF INCEPTION DATE. SIGNING THIS FORM DOES NOT BIND THE COMPANY TO COMPLETE THE INSURANCE. COVERAGE BECOMES EFFECTIVE WHEN ACCEPTED BY THE INSURANCE COMPANY.

| THE INCLUDING COMPANY | ISURANCE. COVERAGE BECOMES EFFECTIVE WHEN ACCEPTED BY |
|-------------------------------|---|
| THE INSURANCE COMPANY | |
| Authorized Insured Signature: | Date Signed Title: |

Main Contact Phone Number:

Requested Effective Date Name of Licensed Insurance Brokerage:

Signature of Licensed Insurance Broker:

Name of Appointed Insurance Broker: